2151

PATENT
Attorney Docket No.: ABR-001.01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Torrance, et al.

Application No. 10/034,293

Filing Date: December 21, 2001
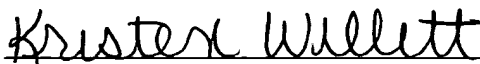
For: COLLECTING USER RESPONSES
OVER A NETWORK

Examiner: NYA

Art Unit No. 2151

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CERTIFICATE OF FIRST CLASS MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail, postage prepaid, in an envelope addressed to: Commissioner for Patents and Trademarks, Washington, D.C. 20231 on October 18, 2002.
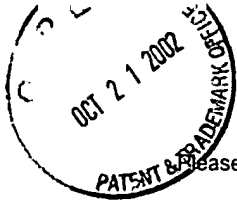
Kristen Willett
Kristen Willett

Commissioner for Patents
Washington, D.C. 20231

Sir:

Submitted herewith are:

1. Transmittal Form (1 pg.);
2. Fee Transmittal (and copy) (2 pgs.);
3. Information Disclosure Statement Under 37 CFR 1.97 (1 pg.);
4. Information Disclosure Citation in an Application (Form 1449) (1 pg.);
5. (Copy of) International Search Report (4 pgs.);
6. References AA - AE; and
7. Return Receipt Postcard.

20/523452.1

Please type a plus sign (+) inside this box ——▶ ☐ +

OCT 21 2002

PATENT & TRADEMARK OFFICE

TRANSMITTAL FORM *(to be used for all correspondence after initial filing)*	

Application Number	10/034,293
Filing Date	December 21, 2001
First Named Inventor	Torrance
Group Art Unit	2151
Examiner Name	NYA
Attorney Docket Number	ABR-001.01

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ENCLOSURES *(check all that apply)*

☐ Fee Transmittal Form

  ☐ Fee Attached

☐ Amendment / Response

  ☐ After Final

  ☐ Affidavits/declaration(s)

☐ Extension of Time Request

☐ Express Abandonment Request

☒ Information Disclosure Citation in an Application

☐ Certified Copy of Priority Document(s)

☐ Response to Missing Parts/ Incomplete Application

  ☐ Response to Missing Parts under 37 CFR 1.52 or 1.53

☐ Assignment Papers *(for an Application)*

☐ Drawing(s)

☐ Licensing-related Papers

☐ Petition Routing Slip (PTO/SB/69) and Accompanying Petition

☐ Petition to Convert to a Provisional Application

☐ Power of Attorney, Revocation Change of Correspondence Address

☐ Terminal Disclaimer

☐ Request for Refund

☐ CD, Number of CD(s) _____

☐ After Allowance Communication to Group

☐ Appeal Communication to Board of Appeals and Interferences

☐ Appeal Communication to Group *(Appeal Notice, Brief, Reply Brief)*

☐ Proprietary Information

☐ Status Letter

☒ Other Enclosure(s) *(please identify below):*

Information Disclosure Statement Under 37 CFR 1.97; (Copy of) International Search Report; References AA - AE; Certificate of First Class Mailing; and Return Postcard

Remarks	**Customer #25181**

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Kevin A. Oliver, Reg. No. 42,049
Signature	*Kevin A. Oliver*
Date	October 18, 2002

PTO/SB/17 (10-01)
Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL
for FY 2003

Patent fees are subject to annual revision.

Complete if Known

Application Number	10/034,293
Filing Date	December 21, 2001
First Named Inventor	Torrance
Examiner Name	NYA
Group / Art Unit	2151
Attorney Docket No.	ABR-001.01

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TOTAL AMOUNT OF PAYMENT ($) 0

OCT 23 2002

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METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number: 06-1448, Reference: ABR-001.01

Deposit Account Name: Foley Hoag

☒ Charge Any Additional Fee Required
Under 37 CFR 1.16 and 1.17

☐ Applicant claims small entity status.
See 37 CFR 1.27

2. ☐ Payment Enclosed:

☐ Check ☐ Credit card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee Code	Fee ($)	Small Entity Fee Code	Fee ($)	Fee Description	Fee Paid
101	740	201	370	Utility filing fee	
106	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filling fee	

SUBTOTAL (1) ($) 0

2. EXTRA CLAIM FEES

		Extra Claims	Fee from below	Fee Paid
Total Claims		- ** =	X	=
Independent Claims		- ** =	X	=
Multiple Dependent			X	= 0

Large Entity Fee Code	Fee ($)	Small Entity Fee Code	Fee ($)	Fee Description
103	18	203	9	Claims in excess of 20
102	84	202	42	Independent claims in excess of 3
104	280	204	140	Multiple dependent claim, if not paid
109	84	209	42	** Reissue independent claims over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) ($)

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

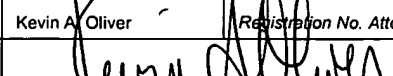| Large Entity Fee Code	Fee ($)	Small Entity Fee Code	Fee ($)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet.	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	
118	1,440	218	720	Extension for reply within fourth month	
128	1,960	228	980	Extension for reply within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive – unavoidable	
141	1,280	241	640	Petition to revive – unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	460	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Processing fee under 37 CFR 1.17 (q)	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	
179	740	279	370	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) ($) 0

SUBMITTED BY

Complete (if applicable)

Name (Print/Type)	Kevin A. Oliver	Registration No. Attorney/Agent	42,049	Telephone	(617) 832-1241
Signature				Date	October 18, 2002

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Andrew W. Torrance, et al.	Examiner: Not Yet Assigned
Application No: 10/034,293	Art Unit: 2151
Filed: December 21, 2001	
For: Collecting User Responses Over a Network	

INFORMATION DISCLOSURE STATEMENT
UNDER 37 CFR 1.97

Commissioner for Patents
Washington, DC 20231

Sir:

Submitted herewith on Form PTO-1449 is a listing of documents identified in an International

Search Report (ISR) in a counterpart PCT application in compliance with the requirements of 37 CFR

1.56. A Copy of the documents are also being submitted. This submission is provided before a first
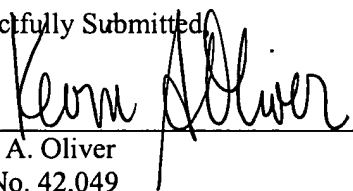
office action on the merits.

Although we believe that we have appropriately provided for any fees due in connection with this

submission, the Commissioner is authorized to credit any overpayment or charge any deficiencies to/from

our **Deposit Account No. 06-1448, Reference ABR-001.01**.

Should there be any questions after reviewing this paper, the Examiner is invited to contact the

undersigned at (617) 832-1241.

Respectfully Submitted,

Date: October 18, 2002

Customer No: 25181
Patent Group
Foley, Hoag LLP
World Trade Center West
155 Seaport Boulevard
Boston, MA 02210-2600

Kevin A. Oliver
Reg. No. 42,049

20/523448.1

Form PTO-1449	Docket Number (Optional) ABR-001.01		Application Number 10/034,293
INFORMATION DISCLOSURE CITATION IN AN APPLICATION *(use several sheets if necessary)*	Applicant Andrew W. Torrance		
	Filing Date December 21, 2001		Group Art Unit 2151

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	0007303	1/17/02	Brookler et. al.	10	705	April 30, 2001
	AB	0026435	2/28/02	Wyss et. al.	1	707	August 16, 2001
	AC	0052774	5/2/02	Parker et. al.	10	705	December 22, 2000
	AD	5 740 035	4/14/98	Cohen et. al.	401	364	July 23, 1991

FOREIGN PATENT DOCUMENTS

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		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation YES / NO
	AE	00/60494	10/12/00	WO	00	17	X

OTHER DOCUMENTS *(Including Author, Title, Date, Pertinent Pages Etc.)*

EXAMINER		DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

20/523411.1